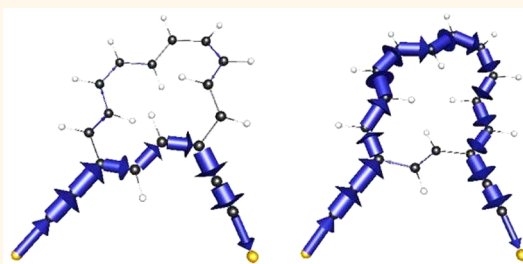


Exponential Attenuation of Through-Bond Transmission in a Polyene: Theory and Potential Realizations

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ABSTRACT An exponential falloff with separation of electron transfer and transport through molecular wires is observed and has attracted theoretical attention. In this study, the attenuation of transmission in linear and cyclic polyenes is related to bond alternation. The explicit form of the zeroth Green's function in a Hückel model for bond-alternated polyenes leads to an analytical expression of the conductance decay factor β . The β values calculated from our model (β_{CN} values, per repeat unit of double and single bond) range from 0.28 to 0.37, based on carotenoid crystal structures. These theoretical β values are slightly smaller than experimental values. The difference can be assigned to the effect of anchoring groups, which are not included in our model. A local transmission analysis for cyclic polyenes, and for [14]annulene in particular, shows that bond alternation affects dramatically not only the falloff behavior but also the choice of a transmission pathway by electrons. Transmission follows a well-demarcated system of π bonds, even when there is a shorter-distance path with roughly the same kind of "electronic matter" intervening.



KEYWORDS: molecular transmission · exponential falloff · bond alternation · annulenes

Tunable molecular wires that mediate electronic communication,¹ energy transfer,² electron transfer,³ hole transfer,⁴ and charge transport⁵ have been a gleam in the eye of theoreticians and experimentalists in our community, especially in molecular electronics.⁶ The intimate relationship between the above-named processes has been pointed out.^{7,8} It would seem that such mediation might be most effectively achieved through organic π -electron systems, whose electrons are known to be delocalized and mobile. Hence, much effort has been directed over the past three decades to the design and synthesis of π -conjugated molecular wires.⁹

Controlling conductance and current flow through π -conjugated molecular wires is a persistent challenge for molecular electronics. A molecular wire with low (as a function of distance) decay is desirable. From another viewpoint, a donor–bridge–acceptor system with low attenuation of transmission

of energy and electrons is indispensable for the construction of biomimetic artificial photosynthetic systems, as well as for understanding many biological and chemical systems.¹⁰ This is the reason for much interest in the distance-dependent attenuation of electron transfer and transport.^{11–13}

We construct in this paper a piecewise new perspective on decay and attenuation of transmission through π -electron systems.

RESULTS AND DISCUSSION

Exponential Falloff. Studies on distance-dependent attenuation probably began with examination of tunneling of a particle through a rectangular potential energy barrier by Gamow.¹⁴ The tunneling probability of a particle with mass m through a rectangular potential energy barrier with a height of ΔE and a width of d may be written as follows:

$$\tau \propto \exp(-\beta_{\text{Gamow}}d) \quad (1)$$

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where

$$\beta_{\text{Gamow}} = \frac{2\sqrt{2m\Delta E}}{\hbar} \quad (2)$$

Here ΔE is assumed to be independent of d . Equation 2 shows a simple relation between the distance decay constant β and the tunneling barrier height. The decay constant reflects the degree of decrease in the wave function through the potential barrier. This relation can be used to estimate an effective barrier height for electron transfer through various molecules, based on the experimentally measured β values.³

Exponentially distance-dependent falloff has been found in the rate constants of coherent off-resonant electron (or hole) transfer phenomena, which are induced by the superexchange mechanism (single-step tunneling).¹⁵ The distance dependence of the electron transfer rate constant is described as follows:¹⁶

$$k_{\text{ET}} = k_0 \exp(-\beta_{\text{ET}}R_{\text{DA}}) \quad (3)$$

where k_0 is a kinetic prefactor. In the many donor–acceptor systems studied, R_{DA} can be defined as the donor–acceptor center-to-center distance. Equation 3 is empirical and comes from early tunneling calculations. k_{ET} values reflect the rate of charge separation and recombination, which are important properties in the design of efficient photovoltaic devices.^{16,17} β_{ET} values, which are regarded as the intrinsic electronic properties of molecular bridges, have been determined experimentally and theoretically for many molecular bridges, for example, proteins,^{18,19} DNA,^{4,20} saturated hydrocarbons,^{21,22} and linear π -conjugated oligomers including oligovinylene (oligoene),^{23,24} oligoethynylene (oligoyne),^{23,25,26} paraphenylene,^{27,28} oligofluorene,²⁹ oligo(phenylenevinylene),³⁰ and oligo(phenyleneethynylene).^{31,32} The smaller β_{ET} is, the more rapidly and efficiently electron transfer reactions can occur. π -Conjugated oligomers have smaller β_{ET} than proteins, DNA, and saturated hydrocarbons, and hence they are efficient mediators of charge transfer. Oligo(phenylenevinylene) is reported to have the smallest β_{ET} value of 0.01 \AA^{-1} .³⁰

The semiclassical expression for electron transfer reactions formulated by Marcus³³ provides the basis for relating the rate constant k_{ET} to the electronic coupling H_{DA} and thermodynamic parameters as follows:

$$k_{\text{ET}} = \sqrt{\frac{4\pi^3}{h^2\lambda k_{\text{B}}T}} H_{\text{DA}}^2 \exp\left\{-\frac{(\Delta G^\circ + \lambda)^2}{4\lambda k_{\text{B}}T}\right\} \quad (4)$$

where ΔG° is the standard free-energy change for the electron transfer reaction, which is the driving force arising from the difference in the oxidation potentials of the donor and acceptor, and λ is the reorganization energy, which is required for the nuclear rearrangement

accompanied by electron transfer. H_{DA} describes the probability of electron tunneling from the donor to acceptor through the bridge. Although ΔG° and λ may vary as a function of donor–acceptor separation, it is frequently assumed that H_{DA} plays the dominant role and the distance dependence of k_{ET} originates in large part from H_{DA} .³ The distance dependence of H_{DA} can be expressed as follows:²

$$H_{\text{DA}} = H_{\text{DA}}^0 \exp\left(-\frac{\beta_{\text{EC}}R_{\text{DA}}}{2}\right) \quad (5)$$

where H_{DA}^0 is the electronic coupling at contact distance and β_{EC} is the distance decay constant of the electronic coupling. If the dependence of ΔG° and λ on the distance is small, it is possible to assume that $\beta_{\text{EC}} = \beta_{\text{ET}}$.

McConnell³⁴ used perturbation theory to derive a formula describing H_{DA} in a system in which a covalent bridge composed of N identical repeating units intervenes between the donor and acceptor. McConnell's formula is written as follows:

$$H_{\text{DA}} = \frac{H_{\text{DB}}H_{\text{BA}}}{\Delta\varepsilon} \left(\frac{H_{\text{BB}}}{\Delta\varepsilon}\right)^{N-1} \quad (6)$$

where H_{DB} is the coupling between the donor and the first bridging unit, H_{BA} is that between the last bridging unit and the acceptor, H_{BB} is that between adjacent bridging units, and $\Delta\varepsilon$ is the tunneling energy gap, which is defined as the energy difference between electron (hole) states on the donor (acceptor) and those on the bridge. Here it is assumed that $H_{\text{BB}} \ll \Delta\varepsilon$. McConnell's model describes through-bond donor–acceptor interaction mediated by N identical bridging units.

Comparison between eqs 5 and 6 leads to an expression of β_{EC} in terms of H_{BB} and $\Delta\varepsilon$ as follows:²

$$\beta_{\text{EC}} = -\frac{2}{R_0} \ln\left(\frac{H_{\text{BB}}}{\Delta\varepsilon}\right) \quad (7)$$

where R_0 is the length of one repeating unit. R_{DA} is assumed to be linearly proportional to N , i.e., $R_{\text{DA}} \approx NR_0$. It is worth noting that β_{EC} is not a bridge-specific parameter, because $\Delta\varepsilon$ depends on both bridge and donor or acceptor.

McConnell's model has been developed by Evenson and Karplus, based on the Green's function of the bridge.³⁵ They provided a numerical technique for computing H_{DA} . Reimers and Hush have provided extensions of the McConnell's model, which allow the bridge to be described using two different intersite couplings instead of the single parameter H_{BB} .^{36,37} This modified model is appropriate for coupling through a π system containing alternating single and double bonds, as well as a σ system, where carbon valence orbitals are represented using sp^3 hybrids and there is a strong coupling forming a σ bond between the

orbitals on adjacent atoms and a weak coupling between orbitals on the same atom.

Recent dramatic advances in the fabrication technique of electrode–molecule–electrode junctions, for example, scanning probe microscopy, mechanical break junctions, electromigration break junctions, and self-assembly of nanostructures,³⁸ allow us access to the distance-dependent falloff of molecular conductance. In the coherent off-resonant tunneling transport regime, the conductance g shows an exponential falloff behavior with respect to the transport distance L , which can be defined as a distance between two electrodes,³⁹ between two anchor atoms,⁴⁰ or between terminal carbon atoms,⁴¹ as follows:¹³

$$g = g_0 \exp(-\beta_C L) \quad (8)$$

where g_0 is a constant prefactor that depends on the coupling between the molecular wire and electrode and β_C is the conductance decay constant. Just like eq 3, eq 8 is also empirical and comes from early tunneling calculations.

So far, β_C values have been determined experimentally and theoretically in many molecules, for example, alkanes,^{42,43} π -stacked materials,^{44,45} metal-ion clusters,⁴⁶ extended metal atom chains,⁴⁷ and π -conjugated oligomers including oligoenes,^{48,49} oligoynes,^{50,51} oligoacenes,^{52,53} oligothiophenes,⁵⁴ oligoporphyrins,⁵⁵ oligophenylenes,^{56,57} and graphene nanoribbons,⁵⁸ combined with various end groups⁵¹ and electrode materials.⁵⁹ Although the reported β_C values depend on the experimental and theoretical methodologies to a certain degree,¹² π -conjugated systems generally have smaller β_C values (around 0.2 – 0.6 \AA^{-1}) than σ systems (around 0.6 – 1.0 \AA^{-1}).⁶⁰ An extremely small β_C value of 0.006 \AA^{-1} has been reported for extended viologen molecules,⁶¹ and even a negative β_C value of -0.117 \AA^{-1} has been predicted for graphene nanosheets.⁶²

The exponential conductance decay of eq 8 can be rewritten in terms of the number of repeating units in the molecular wires as follows:

$$g = g_0 \exp(-\beta_{CN} N) \quad (9)$$

N is the number of repeat units, for example the number of methylene groups for alkanes ($\beta_{CN} = 1.1/\text{methylene}$),⁶³ that of double bonds for oligoenes ($\beta_{CN} = 0.556$ or $0.43/\text{double bond}$),^{48,49} and that of benzene rings for phenylenes ($\beta_{CN} = 1.7/\text{benzene ring}$).⁶⁴ Using the length of one repeating unit R_0 , β_{CN} can be converted to β_C , as follows:

$$\beta_C = \frac{\beta_{CN}}{R_0} \quad (10)$$

There are a few derivations of β_C and β_{CN} . Onipko and co-workers developed an exactly solvable analytical model that covers conjugated oligomers on the basis of the Landauer approach and Green's function

technique.⁶⁵ If $\beta_{CN} N \gg 1$, the decay constant can be written as follows:

$$\beta_{CN} = 2 \ln \left| \frac{f(E_F)}{2 + \sqrt{[f(E_F)/2]^2 - 1}} \right| \quad (11)$$

where $f(E)$ is a function determined by the monomer Green's functions.

Joachim and Magoga⁶⁶ employed the calculation of the complex-band structure proposed by Kohn,⁶⁷ where the electronic band structure of the periodic extension of a finite-length molecular wire can be obtained by solving the Schrödinger equation with a complex-valued wave vector. They showed that β_C depends on the energy-dependent effective mass $m^*(E)$ as well as the HOMO–LUMO gap χ for the molecular wire as follows:

$$\beta_C = \sqrt{\frac{2m^*(E_F)(E_F - E_{\text{HOMO}})(E_{\text{LUMO}} - E_F)}{\hbar^2 \chi}} \quad (12)$$

Engelkes, Beebe, and Frisbie⁶³ derived an analytical expression for β_{CN} using a model for a molecular junction composed of an N -site carbon chain, where each site has a site energy E_C and an overlap energy with its neighboring site $V_{C,C}$. Their expression for β_{CN} can be written as follows:

$$\beta_{CN} = 2 \ln \left(\frac{E_C - E_F}{V_{C,C}} \right) \quad (13)$$

If $E_C - E_F$ is regarded as the tunneling energy gap ΔE , eq 13 can be reduced to McConnell's formula eq 7. This equation predicts that β_{CN} should depend on the Fermi level and therefore the electrode work function and applied bias. However, experiments by Engelkes *et al.* indicated that the latter effect is not large.⁶³

More recently, Stuyver and co-workers have provided an elegant method based on a relation between the zeroth-order Green's function, the Pauling bond order, and the number of Kekulé structures, deriving an analytical expression for exponential decay in transmission for oligo(*p*-phenylene).⁶⁸

We believe that it would be useful to connect the decay constant to the geometrical features of molecular wires, such as bond lengths and dihedral angles. Here we derive an expression for the decay constant β_{CN} based on the zeroth-order Green's function in the Hückel model for linear polyenes with alternating single and double bonds, and we compare the derived β values with experimental results. The alternation is critical to the attenuation. We also discuss electron transport properties through cyclic polyenes with bond alternation.

Derivation of an Expression for β for Polyenes with Bond Alternation. In the Hückel Hamiltonian matrix for a polyene chain consisting of uniformly spaced carbons, all resonance integrals are set equal. To avoid confusion with the prevalent use of β for a decay constant,

constant β_{CN} (per molecular repeat unit, here a double bond and a single bond) as follows:

$$\beta_{\text{CN}} = -2 \ln\left(\frac{t_{\text{S}}}{t_{\text{D}}}\right) \quad (21)$$

This equation implies that the conductance decay constant should depend on the degree of bond alternation, *i.e.*, the ratio of double bond to single bond resonance or transfer integrals. The dependence n has been investigated with a numerical approach using complex band structure calculation⁷³ and an experiment using a cross-wire tunnel junction.⁷⁴ Recently Lambert and co-workers also derived an analytical expression for the decay constant without using the Green's function formalism.⁷⁵

Equation 21 is plotted in Figure 1. If $t_{\text{D}} > t_{\text{S}}$, which is a normal situation for polyenes, $\beta_{\text{CN}} > 0$, which means that the conductance keeps exponentially decreasing as the number of double bonds between the left and right electrodes gets larger, as observed in experiments^{48,49} earlier. If $t_{\text{D}} = t_{\text{S}}$, which is the case of bond-equalized polyenes, $\beta_{\text{CN}} = 0$, which means that the conductance should be independent of the number of double bonds between the left and right electrodes. This is, of course, a desirable situation for molecular wires. But the ubiquitous Peierls distortion,⁷⁶ first applied to polyenes by Salem and Longuet-Higgins,⁷⁷ does not allow this. However, with application of an external electric field,⁷⁸ it might be possible to minimize bond alternation and hence reach nearly zero β_{CN} values, as $t_{\text{S}}/t_{\text{D}} \rightarrow 1$.

The case of $t_{\text{D}} < t_{\text{S}}$ is very interesting because it implies $\beta_{\text{CN}} < 0$, which means that the conductance would increase exponentially as the number of double bonds between the left and right electrodes gets larger. This sounds counterintuitive, and indeed $t_{\text{D}} < t_{\text{S}}$ is just chemically unreasonable in the ground state of a molecule. But it might be achievable in a low-lying excited state.⁷⁹ Actually, Lindsay and co-workers have reported the measurement of conductance through a light-induced excited state of a porphyrin-C₆₀ dyad molecule.⁸⁰ In the SI, we explore the unphysical assumption of $t_{\text{D}} < t_{\text{S}}$ in a detailed calculation.

Comparison between Theoretical and Experimental β Values.

To evaluate β_{CN} , the ratio between the resonance integrals for the single and double bonds is required. This ratio can be calculated by using Mulliken's formula⁸¹ as follows:

$$\frac{t_{\text{S}}}{t_{\text{D}}} = \frac{S_{\text{S}}}{S_{\text{D}}} \quad (22)$$

where S_{S} and S_{D} are the overlap integrals between adjacent $2p\pi$ orbitals in single and double bonds, respectively. These overlap integrals can be calculated explicitly.⁸² If the $2p_z$ orbital is a single carbon Slater function, $z \exp(-pr)$ (unnormalized), where $p = 3.072R$ and R is the separation in Å of the interacting

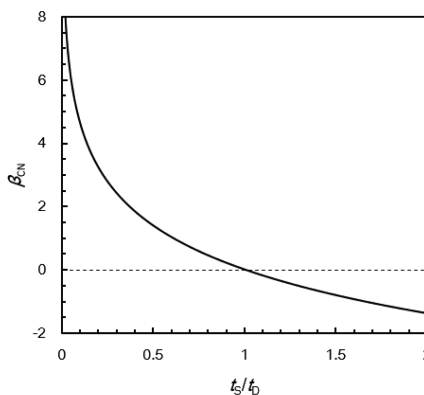


Figure 1. β_{CN} is plotted as a function of $t_{\text{S}}/t_{\text{D}}$ based on eq 21.

carbon nuclei, then the $2p_z-2p_z$ π -type overlap integral is

$$S = e^{-p} \left[1 + p + \frac{2}{5}p^2 + \frac{1}{15}p^3 \right] \quad (23)$$

We have checked the crystal structures of various carotenoid polyenes,^{83–86} which have the all-*trans* configuration. We then calculated the average bond length of the single and double bonds for each carotenoid polyene.⁸⁷ From the average bond lengths, the overlap integrals and then $t_{\text{S}}/t_{\text{D}}$ are calculated. We have found that the value of $t_{\text{S}}/t_{\text{D}}$ for carotenoid polyenes is in a range from 0.83 to 0.87, which can be converted into β_{CN} values that range from 0.28 to 0.37.

He *et al.* measured the conductance of a series of carotenoid polyenes terminated with a benzene ring coupled to a thiol *via* a methylene linker using a scanning tunneling microscope (STM).⁴⁸ The shortest carotenoid polyene investigated in their experiment is shown in Figure 2a. They reported a β_{CN} value of 0.556 ± 0.09 . Visoly-Fisher *et al.* measured the conductance of a series of carotenoid polyenes terminated with a pyridine linker using an STM.⁴⁹ The shortest carotenoid polyene investigated in their experiment is shown in Figure 2b. They reported a β_{CN} value of 0.43 ± 0.07 . They attributed the variation of the β_{CN} values to the effect of the end groups on the alignment of molecular states with the Fermi level of the electrode.

Recently Dell *et al.* measured the conductance of a series of molecules that contain thiophene-1,1-dioxide (TDO).⁸⁸ Since the lone pairs of sulfur in the thiophene unit are engaged in bonding to oxygen, the TDO moiety provides an oligoene-like backbone. However, this is not the all-*trans* configuration seen in the carotenoids. Their β_{CN} value is 0.52, which lies midway between the values obtained by He *et al.* and Visoly-Fisher *et al.*

The β_{CN} values obtained from the experiments by He *et al.*, Visoly-Fisher *et al.*, and Dell *et al.* are larger than our expected value; that is, the conductance falls off faster than we predict. The most significant

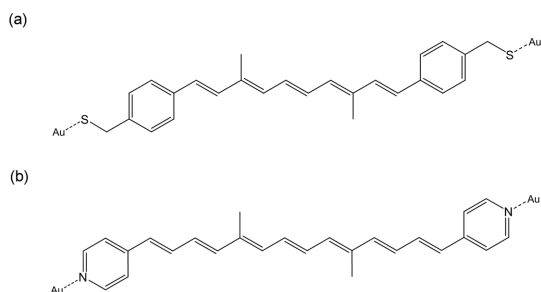


Figure 2. Example for the structure of carotenoid polyenes measured by (a) He *et al.* and (b) Visoly-Fisher *et al.*

difference in the situation between our model and the experiments is the anchoring group that connects the molecule to the electrode. In our model, as shown in Scheme 1, no anchor groups are taken into account. The anchoring groups make real differences, such as the shift of the Fermi level and introducing additional tunneling barriers. Plausible considerations that may bridge the gap between our model and experiments are presented in detail in the Supporting Information (SI).

Conductance in Cyclic Polyenes with Bond Alternation. Let us investigate conductance in cyclic polyenes with bond alternation. To express the Hückel Hamiltonian matrix for cyclic polyenes with bond alternation, the Hamiltonian matrix shown in eq 15 can be modified as follows:

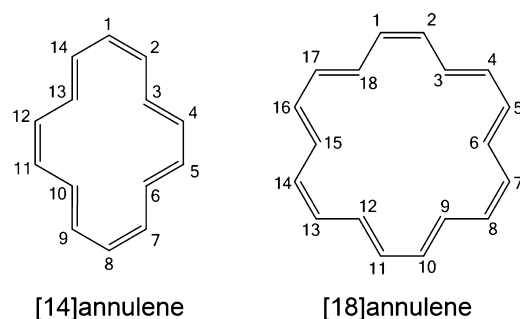
$$\mathbf{H} = \begin{bmatrix} 0 & t_D & & & & & & t_S \\ t_D & 0 & t_S & & & & & \\ & t_S & 0 & \ddots & & & & \\ & & \ddots & \ddots & \ddots & & & \\ & & & \ddots & t_S & 0 & t_D & \\ t_S & & & & t_S & 0 & t_D & 0 \end{bmatrix} \quad (24)$$

We have recently obtained an analytical expression for the entries of the zeroth-order Green's function for this Hamiltonian matrix. The derivation will be discussed elsewhere;⁷² here we give the result:

$$G(r, s) = -\frac{1}{4} \left\{ \frac{(-t_S/t_D)^{M+r-s-1/2}}{t_D[1 - (-t_S/t_D)^{M/2}]} [1 + (-1)^r][1 - (-1)^s] + \frac{(-t_D/t_S)^{M+r-s-1/2}}{t_S[1 - (-t_D/t_S)^{M/2}]} [1 - (-1)^r][1 + (-1)^s] \right\} \quad (25)$$

where $r \leq s$ and M is the total number of carbon atoms.

For cyclic polyenes containing $4n + 2$ carbon atoms, namely, $[4n + 2]$ annulenes, Longuet-Higgins and Salem theoretically predicted that if n is less than 8, the symmetrical structure should be preferred, but that for greater values of n bond alternation should set in.⁸⁹ However, a combined theoretical and experimental study by Schaefer, Schleyer, and co-workers showed that the crossover point between bond-equalized and bond-alternated structures occurs much earlier, at around $n = 3$ or 4, namely, [14]annulene or [18]annulene.⁹⁰ Structural studies of the larger cyclic polyenes are nontrivial; disorder has to be overcome.



Scheme 2. Bond-alternated representation of [14]annulene and [18]annulene with carbon atom numbering.

Schaefer, Schleyer, and co-workers suggest strongly that the structures be reinvestigated.

Representations of [14]annulene and [18]annulene with alternating bonds are shown in Scheme 2 (effectively one Kekulé structure) with carbon atom numbering.

Schaefer, Schleyer, and co-workers predicted that in the geometries of [14]annulene and [18]annulene the average lengths of the single and double bonds are 1.44 and 1.35 Å, respectively, for both [14]annulene and [18]annulene. The resonance integrals for the single and double bonds of these molecules are estimated (by the procedure detailed above) to be 0.93 and 1.09, respectively, using the resonance integral for the CC bond length of 1.40 Å in benzene as a reference. Using these values for t_S and t_D in eqs 24 and 25, the zeroth-order Green's function matrices for [14]annulene and [18]annulene are calculated. The first rows of the zeroth-order Green's function matrices for [14]annulene and [18]annulene are $[0, -0.69, 0, 0.59, 0, -0.50, 0, 0.43, 0, -0.37, 0, 0.31, 0, -0.27]$ and $[0, -0.74, 0, 0.63, 0, -0.54, 0, 0.46, 0, -0.39, 0, 0.33, 0, -0.29, 0, 0.24, 0, -0.21]$, respectively. If there were no bond alternation, all nonzero elements would be $+0.5$ or -0.5 .⁷¹

In Figure 3a and b are plotted the nonzero elements in the first row of the zeroth-order Green's function, namely, $G(1, s)$, and their squares (in parentheses). The latter should reflect the conductance between the C1 atom and another carbon atom. In Figure 4 the absolute squared values of $G(1, s)$ are plotted logarithmically as a function of the number of intervening double bonds N between the first and second electrodes. Importantly, the "distance" N here is measured "the polyene way", around $1 \leq N \leq 7$ for [14]annulene and $1 \leq N \leq 9$ for [18]annulene.

Figure 4 shows that the conductance through cyclic polyenes with bond alternation also follows an exponential falloff behavior. The inclination of the approximation lines for [14]annulene and [18]annulene leads to a β_{CN} value of 0.32, which is fully consistent with the β_{CN} value calculated from eq 21, although this equation is derived from a model for linear polyenes with bond alternation.

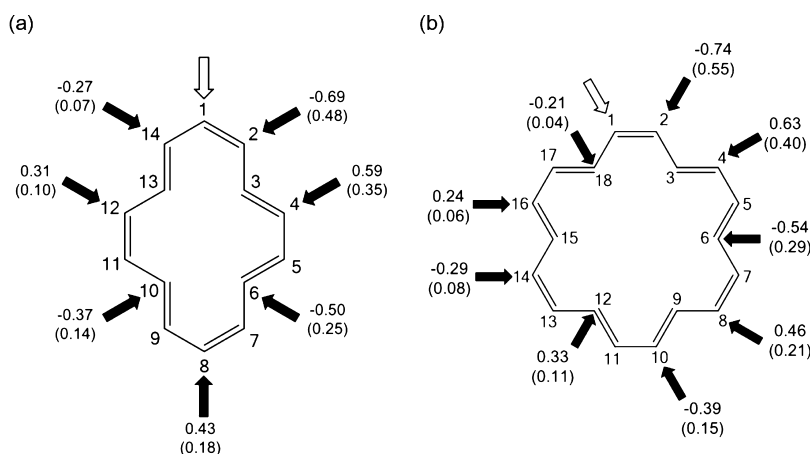


Figure 3. Values of the zeroth-order Green's function $G(1, s)$ for (a) [14]annulene and (b) [18]annulene. The white arrow denotes the attachment position of the first electrode, and the black arrow marks conceivable attachment positions of the second electrode. The numbers in parentheses are the absolute squared values of $G(1, s)$.

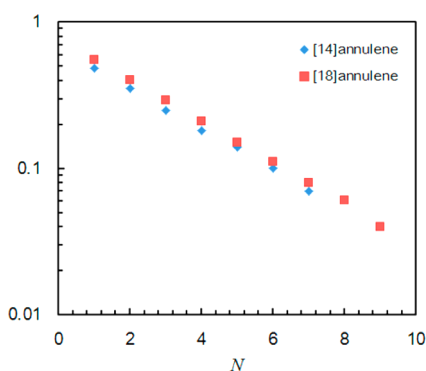


Figure 4. Absolute squared values of $G(1, s)$ are plotted versus the number of double bonds N between the first and second electrodes along "the polyene way" beginning with the double bonds, which corresponds to $s/2$.

Let us use the analytical expression eq 25 to rationalize the above-mentioned numerical results. When $r = 1$ and s is even, the first term of eq 25 is zero and the leftover term is

$$G(1, s) = \frac{(-t_D/t_S)^{(M-s)/2}}{t_S[1 - (-t_D/t_S)^{M/2}]} \quad (26)$$

and its absolute square is

$$|G(1, s)|^2 = \frac{(t_D/t_S)^M}{t_S^2[1 - (-t_D/t_S)^{M/2}]^2} (t_S/t_D)^s \quad (27)$$

Since M is the total number of carbon atoms included in the ring, which is fixed, only $(t_S/t_D)^s$ is variable and the conductance is proportional to $(t_S/t_D)^s$. s corresponds to $2N$, where N is the number of intervening double bonds between the first and second electrodes. Thence, the conductance through the cyclic polyene with bond alternation can be written as follows:

$$g = \frac{2e^2}{h} \gamma_L \gamma_R \frac{(t_D/t_S)^M}{t_S^2[1 - (-t_D/t_S)^{M/2}]^2} (t_S/t_D)^{2N} \quad (28)$$

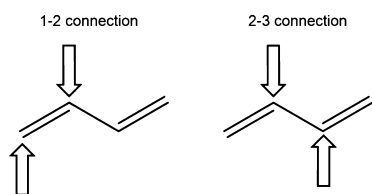
Comparing the natural logarithms of eqs 9 and 28 as a function of N , we arrive at eq 21. Therefore, eq 21 is valid for both linear and cyclic polyenes, within the approximations made.

The Consequences of Bond Alternation. Suppose it were possible to attach electrodes to two neighboring atoms in a cyclic polyene, in particular 1–2 and 1–14 in [14]annulene and 1–2 versus 1–18 in [18]annulene. The transmission should go as the Green's function absolute value squared. This would imply a ratio of 1–2 to 1– $2N$ transmission of 6.9 for $N = 7$ and 13.8 for $N = 9$. These are remarkable differences in predicted transmission for a very similar separation in space. A detailed analysis of how the transmission ratio depends on the position of the Fermi level in the electrodes and a specific probing of the calculated transmission spectra for 1–2 and 1–14 connections in [14]annulene are shown in the SI. The significant difference between the 1–2 and 1–14 transmissions can be observed throughout the -0.5β to 0.5β energy window.

The longer the polyene or cyclic polyene is, the larger this ratio is. The limit of the 1–2 connection versus 1– M connection in an $[M]$ annulene is analogous to the case of the 1–2 connection versus 2–3 connection in butadiene, as shown in Scheme 3, where the absolute squared values of the zeroth-order Green's function for the 1–2 and 2–3 connections are 1 and 0, respectively, if the effect of non-nearest-neighbor couplings⁷⁰ and many-body effects⁹¹ are negligible.

The anchoring between the molecule and the metal electrodes is crucial to transport.⁹² In thinking of comparing a 1–2 electrode connection with a 1– M one, clearly there is a problem with electrode attachment; steric interference may preclude this experiment. More realistic, we think, would be a comparison of 1–4 versus 1–12 connection in [14]annulene. We studied this case in some detail.

Thiol is the most widely used linker to adsorb on a gold surface.⁹³ In our calculations the thiol groups are



Scheme 3. The 1–2 connection (left) and 2–3 connection (right) of electrodes to butadiene. The electrodes are denoted by arrows.

further linked to [14]annulene by acetylene linkers in order to avoid steric hindrance between the molecule and the electrodes. We began by optimizing the structure of [14]annulenes with the linkers at C1 and C4 atoms and at C1 and C12 atoms with the BHandHLYP functional and 6-311+G(d,p) basis set, as implemented in the Gaussian 09 software.⁹⁴ This combination of functional and basis set is suggested by Schaefer, Schleyer, and co-workers.⁸⁹ The left and right electrodes are approximated by a Au_9 cluster. The thiol's hydrogen atoms were removed and the clusters were attached in accordance with the methodology shown in a recent study.⁹⁵ The adsorption site is the fcc hollow site. The systems investigated are shown in Figure 5.

Single-point DFT calculations were done for the Au_9 –molecule– Au_9 structures. The DFT calculations have been carried out with the BHandHLYP functional and LanL2MB basis set, as implemented in the Gaussian 09 software. We adopted a smaller basis set to avoid the problem of ghost transmission and to get a clear picture of local transmission, which will be discussed in the next section; smaller basis functions are more localized on the atoms on which they are centered and therefore are more suitable for the definition of atoms in molecules.^{96,97} On the basis of the Fock and overlap matrices obtained from Gaussian 09, electron transport calculations have been done by using the postprocessing tool Artaios.^{96,98}

The bond alternation features that are seen in Figure 5 are not significantly different from unsubstituted [14]annulene. The transmission ratio predicted 1–4/1–12 is 0.35/0.10 = 3.5 (see Figure 3). At the Fermi level, which is defined here as the midpoint energy between the HOMO and LUMO of the whole Au_9 –molecule– Au_9 structure, the actual calculated transmissions for the optimized structures of Figure 5 are 6.9×10^{-3} and 1.2×10^{-3} , respectively, for a ratio of 5.75. That is quite a large difference, given the very similar metric distances between the electrodes, so clearly seen in Figure 5.

Relation between Bond Alternation and Local Transmission.

For another perspective on the effect of bond alternation on transmission we use a local transmission analysis.^{99–101} In this method the total transmission can be decomposed into local (atomic) contributions as follows:

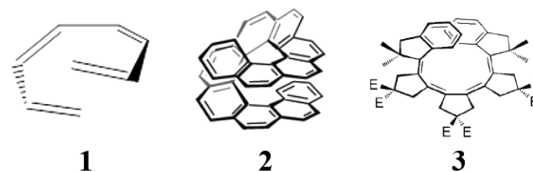
$$T(E) = \sum_{A \in L, B \in R} T_{AB}(E) \quad (29)$$

where T_{AB} is the transmission for electrons between centers A and B . A and B are usually atoms, which are defined by the basis functions centered on them after a symmetric (Löwdin) orthogonalization. T_{AB} can be calculated on the basis of the Fock and overlap matrices that are the same as those used for the transmission calculations mentioned above.

Local transmission plots are given in Figure 6 to illustrate how the local transmission pattern is changed depending on the bond alternation pattern. This figure shows ever-so-graphically that the main transmission is not through-space but through- π -bonds. 1–4 and 1–12 mean exactly that. In the bond-alternated annulenes the transmissions go “the polyene way” following the alternating double bonds, and there is an attenuation along those paths, naturally greater for the 1–12 path than for the 1–4 one.

For comparison purposes, and prompted by a question to us by Mark Ratner, we considered a 1–4 connection in a bond-equalized [14]annulene, whose structure was optimized under the restriction that all CC bond lengths included in the annulene ring are fixed to 1.4 Å. The calculated transmission probability is not much lower than for the 1–4 case, 6.1×10^{-3} . The local transport contribution picture of this bond-equalized case, Figure 6c, is fascinating: a hybrid of the two bond-localized paths.

That transmission follows a well-demarcated system of π bonds, even when there is a shorter-distance path with roughly the same kind of “electronic matter” intervening, is supported by another study we have carried out, here described only briefly. The system in question is an all-*s-cis* oligoene, sketched in **1** for octatetraene.



These are not known; of course, they are sterically at a disadvantage relative to their all-*s-trans* conformers. The *s-cis* conformations are frozen in helicenes (exemplified by an 11-helicene, **2**),¹⁰² and, remarkably, compounds in between **1** and **2**, appropriately called “helicenes truncated to a minimum”, **3**, have been synthesized.¹⁰³

We have modeled all-*s-cis* hexatriene and octatetraene and studied 1– n transmission in these, in work to be reported elsewhere. The 1 and n positions emerge relatively close to each other through space, but significantly further apart along the π chain. Dihedral angle changes between π bonds complicate the matter, but the overall theoretical finding (no experiment yet) is of dominant transmission, with falloff, along the π -bond chain.

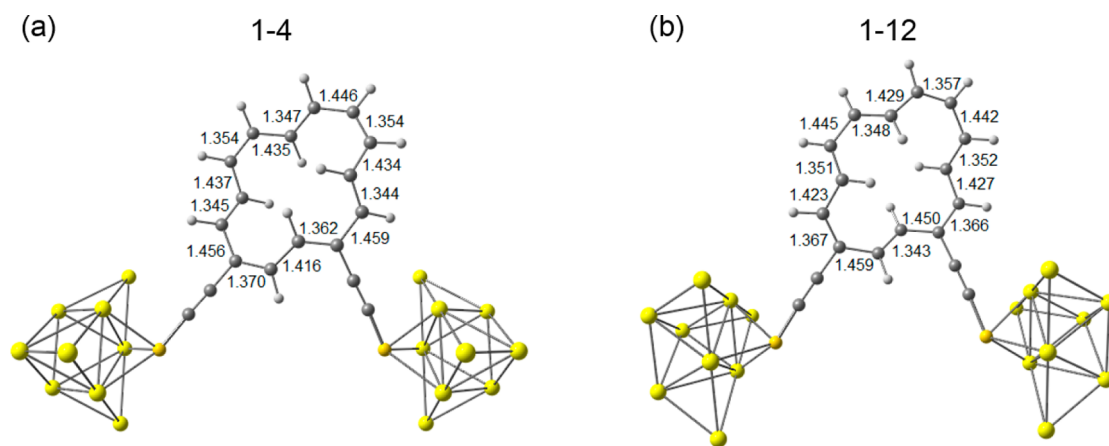


Figure 5. Electrode–molecule–electrode structures for (a) the 1–4 connection and (b) the 1–12 connection of [14]annulene. Selected bond lengths (Å) are shown.

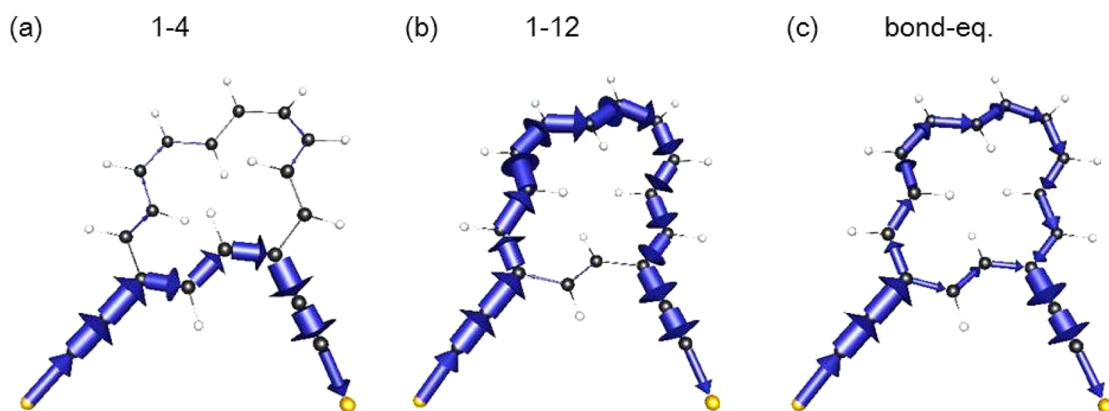


Figure 6. Local transport contributions in (a) the 1–4 and (b) 1–12 connections of the bond-alternated [14]annulene and (c) the 1–4 connection of bond-equalized [14]annulene at the Fermi level. The local transmission contributions are depicted only if above a threshold value of 0.05. The diameter of the arrows represents the value of the local transmission for a pair of atoms.

CONCLUSION

We find that bond alternation plays an essential role in determining transmission through a π system. Exponential falloff of conductance, transmission, or electron transfer is an empirical rule of thumb in the field of molecular electronics. On the basis of a Hückel model for bond-alternated linear polyenes, we derive just such an exponential falloff of conductance with respect to the transport distance. We predict an exponential attenuation factor and compare it with experimental values. The predicted values are smaller than the experimental value; that is, the experimentally measured transmission falls off faster with distance. This discrepancy might be assigned to the effect from the relatively large anchoring groups used in the experiments, which are not included in our model.

We have also investigated the exponential attenuation of conductance in bond-alternated cyclic polyenes, such as [14]annulene and [18]annulene. Bond alternation in cyclic polyenes also results in exponential falloff of conductance. What matters is not the distance through space, but the distance measured along the polyene, though the π bonds. The effect is

impressive in size and clearly displayed in local transmission plots. A specific test of the prediction is suggested for 1–4 *versus* 1–12 connections on a [14]annulene skeleton.

Conflict of Interest: The authors declare no competing financial interest.

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Details of calculations for the carotenoid polyenes; a possible rationalization for the disagreement between our Hückel model and experiments (PDF)

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